



LUD 5354-JEL/NDH

807,043 (Applic. Serial No.) 764,365 (Applic. Serial No.) 728,838 (Applic. Serial No.) 705,702 (Applic. Serial No.)	December 12, 1991 (Filing Date) September 23, 1991 (Filing Date) July 9, 1991 (Filing Date) May 23, 1991 (Filing Date)	Pending (Status-patented/pending/abandoned) Pending (Status-patented/pending/abandoned) Pending (Status-patented/pending/abandoned) Pending (Status-patented/pending/abandoned) (Status-patented/pending/abandoned)
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Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Luther, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266; John A. Bauer, With full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

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hereby declare that all statements made herein of my own knowledge are true nd that all statements made on information and belief are believed to be rue; and further that these statements were made with the knowledge that illful false statements and the like so made are punishable by fine or mprisonment, or both, under Section 1001 of Title 18 of the United States and that such willful false statements may jeopardize the validity of he application or any patent issued thereon.

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ill Name/Sole or First Inventor	Signature	
sidence: Cornell Medical Center	yaca1e	Date
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st Office Address: <u>Dept. of Patholog</u> <u>Cornell Medical Ce</u>	Cit	izenship
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17 NOV 99
Applicate Tee: Yao-Tseng Chen et al. Sexial Patent 70.:
File PADE Concurrently herewith
For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1,
RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
Attorney's Docket No.: LUD 253.6-JEL/NDH
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:
NAME OF ORGANIZATION Memorial Sloan-Kettering Cancer Center
ADDRESS OF ORGANIZATION 1275 York Avenue
New York, New York 10021
TYPE OF ORGANIZATION
() UNIVERSITY OR OTHER INSTITUTE OF HIGHER EDUCATION
(X) TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
() NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)
() WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a)
and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA () WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF
THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATE)
I hereby declare that the nonprofit organization identified above qualifies as a nonprofit
organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section
41(a) and (b) of Title 35, United States Code with regard to the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, REOMBINANT
MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
by inventor(s) Yao-Tseng Chen et al.
described in
(x) the specification filed herewith
() application Serial No. , filed .
() Patent No. , issued
I hereby declare that rights under contract or law have been conveyed to and remain with the
nonprofit organization with regard to the above identified invention
Number F. G. S 9 2 1 2 2 1 3 U.S.
Cont'd Date of Deposit Nov 12, 1955
I hereby certify that this paper or fee is
FELFE & LYNCH being deposited with the United States Postal
805 Third Avenue Service "Express Mail Post Office to
New York, New York 10022 ressee" service under 37 CFR 1.10 on the
(212) 688-9200 date indicated above and is addressed to the Commissioners of Patente and Trademarks,
Washington, D.C. 20231.
Verified Statement (Declaration) Claiming Small Entity Status
37 CFP 1 9/f) and 1 27/d)) - Nonprofit Organization ISRIAN K. W. Market
(Printed name)
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Serial/Patent No.: Filed/Issued: For: Concurrently he For: MONOCLONAL ANTIBODIES WHICH BIN RECOMBINANT MAGE-1. AND MAGE-1 DERIV Attorney's Docket No.: LUD 253.6-JE	rewith					
For: MONOCLONAL ANTIBODIES WHICH BIN RECOMBINANT MAGE-1. AND MAGE-1 DERIV	rewith					
RECOMBINANT MAGE-1. AND MAGE-1 DERIV	For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1,					
Attorney's Docket No.: LUD 253.6-JE	D TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1.					
secorney a bocket Ro.: Lob 253.6-JE	ED IMMUNOGENIC PEPTIDES					
or organization having rights to t invention are held by any person, c independent inventor under 37 CFR 1.9 business concern under 37 CFR 1.9(d) c Separate verified statements are req	rganization are not exclusive, each individual, concern he invention is listed below* and no rights to the other than the inventor, who would not qualify as an (c) or by any concern which would not qualify as a small or a nonprofit organization under 37 CFR 1.9(e). *NOTE: uired from each named person, concern or organizationing to their status as small entities. (37 CFR 1.27).					
NAME						
ADDRESS						
() INDIVIDUAL () SMALL BUS	SINESS CONCERN () NONPROFIT ORGANIZATION					
I hereby declare that all statements statements made on information and betatements were made with the knowledge punishable by fine or imprisonment	assue fee or any maintenance fee due after the date on longer appropriate. (37 CFR 1.28(b)). made herein of my own knowledge are true and that all elief are believed to be true; and further that these age that willful false statements and the like so made to both, under Section 1001 of Title 18 of the United					
states Code, and that such willful application, any patent issuing there	on, or any patent to which this verified statement is					
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PELFE & LYNCE 805 Third Avenue New York, New York 10022 (212) 688-9200 DATE

SIGNATURE

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Appleant	Patentee: Yao-Tseng Chen et al.
ANGER TOT VEGI	gent no.:
EDITORIE BI	ued: Concurrently herewith
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Attorney's	Docket No.: LUD 253.6-JEL/NDH
	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
•	(37 CFR 1.9(f) and 1.27(d)) - MONPROFIT ORGANIZATION
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1 nereby	declare that I am an official empowered to act on behalf of the nonprofit
organizati	on identified below:
NAME	OF ADDAMICANTAN
NAME	OF ORGANIZATION Memorial Sloan-Rettering Cancer Center
ADDE	ESS OF OPCANISATION 1005 W
	LESS OF ORGANIZATION 1275 York Avenue
	New York, New York 10021
TYPE	OF ORGANIZATION
()	UNIVERSITY OR OTHER INSTITUTE OF HIGHER EDUCATION
(X)	TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
()	NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES
	OF AMERICA
	(NAME OF STATE)
	(CITATION OF STATUTE)
()	WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501/2)
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MONOCLONAL	ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MACE-1 PROVIDENCE
BUCE-1 VIVI	D MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
by inventor	r(s) Yao-Tseng Chen et al.
described i	in
(x)	the specification filed herewith
()	application Serial No. , filed
Ò	Patent No. , issued
	▼ ======

nonprofit organization with regard to the above identified invention.

Cont'd

FELFE & LYNCE 805 Third Avenue New York, New York 10022 (212) 688-9200

Verified Statement (Declaration) Claiming Small Entity Status 37 CFR 1.9(f) and 1.27(d)) - Nonprofit Organization

Applicant/Patentee:	Kao-Tseng Chen et al.
Serial/Patent No.:	
	Concurrently herewith
RECOMBINANT MAGE-1 A	ODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, ND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
Attorney's Docket No.	LUD 253.6-JEL/NDH
or organization havi invention are held by independent inventor to business concern under Separate verified states having rights to the	the nonprofit organization are not exclusive, each individual, concerning rights to the invention is listed below* and no rights to the any person, other than the inventor, who would not qualify as an under 37 CFR 1.9(c) or by any concern which would not qualify as a small 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: tements are required from each named person, concern or organization invention averring to their status as small entities. (37 CFR 1.27).
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() INDIVIDUAL	() SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
time of paying, the e which status as a sma I hereby declare that statements made on in statements were made of are punishable by fine States Code, and that	loss of entitlement to small entity status prior to paying, or at the arliest of the issue fee or any maintenance fee due after the date on a lentity is no longer appropriate. (37 CFR 1.28(b)). all statements made herein of my own knowledge are true and that all formation and belief are believed to be true; and further that these with the knowledge that willful false statements and the like so made or imprisonment, or both, under Section 1001 of Title 18 of the United such willful false statements may jeopardize the validity of the it issuing thereon, or any patent to which this verified statement is
NAME OF PERSON SIGNING	
TITLE IN ORGANIZATION	ING
SIGNATURE	DATE
NAME OF PERSON SIGNING	
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	ING
SIGNATURE	DATE

FELFE & LYNCE 805 Third Avenue New York, New York 10022 (212) 688-9200

DATE

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date indicated abymond is indressed to the Commissioner of Patents and Trademarks, Washington American Investigation of the Cartes and Investigation of the Ca	<u>BECLARATION FOR PAT</u>	ENT APPLICATION
Washington & The south Trademarks,		
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identified and if	nave reviewed and	understand the contents of the above
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examination of this a	cy to disclose in	formation which is material to the
Regulations, 1.56(a).	opileacion in accord	dance with Title 37, Code of Federal
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Foreign Priority Appl	ications	
I hereby claim foreign	n priority benefits	under Title 35, United States Code
119 of any foreign ann	lication(s) for net	ent or inventor's certificate listed
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inventor's certificat	e having a filing i	date before that of the application
on which priority is	claimed:	date before that of the application
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		<u>Priority Claimed</u>
		Yes () No ()
(Number)	Country) (Day/Mo	nth/Year Filed)
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U.S. Priority Application	<u>tions</u>	
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037,230	March 26, 1993	Pending
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PCT/US92/04354	May 22, 1992	Pending
(Applic. Serial No.)		(Status-patented/pending/abandoned)
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807,043	<u>December 12, 1991</u>	Pending
(Applic. Serial No.)	(Filing Date)	(Status-patented/pending/abandoned)
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764,364	September 23, 1991	Pending
(Applic. Serial No.)	(Filing Date)	(Status-patented/pending/abandoned)
728,838	July 9, 1991	Pending
(Applic. Serial No.)	(Filing Date)	(Status-patented/pending/abandoned)
705,702	May 23, 1991	Pending
(Applic. Serial No.)	(Filing Date)	(Status-patented/pending/abandoned)

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Hallie R. Levie, Reg. No. 31,116; Charles A. Blank, Reg. No. 17,419; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266 and John A. Bauer, Reg. No. 32,554, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

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New York N	venue		
New JUIK, NE	ew York 10021		.S.A.
		Cit	izenship
ost Office Address:	Memorial Class Va	******	
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Wolfgang J. Rettig			
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<u>New York, Nei</u>	w York 10021	v.	S.A.
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st Office Address.			•
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		-
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(7) Thierry Boon-Falleur		
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		Citizenship
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Post Office Address: Avenue Hippocrate 74	. UCL 7459	
B-1200 Brussels, Bel	gium	
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(8) Lloyd J. Old		
Full Name/Eighth Inventor		-
Full Name/Lighth inventor	Signature	Date
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Residence: 1345 Avenue of the Americas		
New York, New York 10105		U.S.A.
		Citizenship
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